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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/620,249	07/15/2003	Ofir Zohar	ASSIA 20.503	8864

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EXAMINER

PEUGH, BRIAN R

ART UNIT	PAPER NUMBER
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2187

DATE MAILED: 02/06/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/620,249

Applicant(s)

ZOHAR ET AL.

Examiner

Brian R. Peugh

Art Unit

2187

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 July 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-62 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-10, 12-26, 28-42, 44-57 and 59-62 is/are rejected.
- 7) ☐ Claim(s) 11, 27, 43 and 58 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>8/7/03</u> | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 7, 23, 39, and 54 rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 7, 23, 39, and 54 recite the limitation "wherein the plurality of interim-fast-access-time nodes comprise respective location tables" in lines 1-3. It is unclear to the Examiner how the plurality of interim-fast-access-time nodes may comprise tables in light of the Specification, which appears to link the tables to the nodes.

Claims 18 and 49 recite the limitation "wherein receiving the IO requests comprises providing one or more interface nodes" in lines 1-3. It is unclear to the Examiner how the interface nodes are provided when a request is received in light of the Specification.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

Claims 11-6, 8-10, 12-22, 24-26, 28-38, 40-42, 44-53, 55-57, and 59-62 are rejected under 35 U.S.C. 102(a) as being anticipated by Henry et al. (US# 6,898,666). The rejections are made in light of the aforementioned 35 USC, 112, second paragraph rejections.

Regarding claim 1, Henry et al. teaches a storage system, comprising: one or more slow-access-time-mass-storage nodes, coupled to store data at respective first ranges of logical block addresses (LBAs) [col. 4, lines 10-23 & 55-67; Fig. 2]; a plurality of interim-fast-access-time nodes [cache pools 1 & 2], configured to operate independently of one another, each interim-fast-access-time node being assigned a respective second range of the LBAs [col. 5, lines 45-55]; and coupled to receive data from and provide data to the one or more slow-access-time-mass-storage nodes having LBAs within the respective second range [col. 4, lines 55-59]; and one or more interface nodes, which are adapted to receive input/output (I/O) requests from host processors directed to specified LBAs and to direct all the requests to the interim-fast-access-time node to which the specified LBAs are assigned [col. 2, lines 11-15; col. 4, lines 64-67].

Regarding claims 2 and 34, Henry et al. teaches wherein the one or more interface nodes comprise a mapping between the interim-fast-access-time nodes and the LBAs, and wherein the one or more interface nodes are adapted to convert the requests to one or more requests and to direct the one or more requests to respective one or more interim-fast-access-time nodes in response to the mapping [col. 3, lines 39-45; col. 4, lines 55-67].

Regarding claims 3, 19, 35, and 50, Henry et al. teaches wherein the mapping comprises a function [mapping assignment] relating each specific interim-fast-access-time node of the plurality of interim-fast-access-time nodes to the respective second range of the LBAS [col. 3, lines 39-45; col. 4, lines 55-67; col. 5, lines 1-8].

Regarding claim 4, 20, 36, and 51 Henry et al. teaches wherein the mapping comprises a table relating each specific interim-fast-access-time node of the plurality of interim-fast-access-time nodes to the respective second range of the LBAS [visually illustrated in Fig. 5; a broad interpretation of 'table' reads upon the assignment structure of Henry et al.; col. 5, lines 44-55; col. 4, lines 55-67].

Regarding claim 5, 21, 37, and 52, Henry et al. teaches wherein the data is allocated into groups of data within the one or more slow-access-time-mass-storage nodes according to a pre-defined unit of the storage system comprising an integral number of bytes of the data, and wherein the mapping comprises a correspondence between the interim-fast-access-time nodes and the groups of data [col. 5, lines 32-55].

Regarding claim 6, 22, 38, and 53 Henry et al. teaches wherein the one or more slow-access-time-mass-storage nodes comprise one or more disks [Fig. 2], and wherein the interim-fast-access-time nodes comprise random access memories [caches are randomly accessed in accordance with host operations]

Regarding claim 8, 24, 40, and 55, Henry et al. teaches wherein the respective second ranges are spread sufficiently evenly and finely so as to generate well-balanced loading for the plurality of interim-fast-access-time nodes [col. 5, lines 1-8]

Regarding claims 9, 25, 41, and 56, Henry et al. teaches wherein each of the plurality of interim-fast-access-time nodes are at an equal hierarchical level [only one level of cache pool hierarchy; col. 5, lines 9-23].

Regarding claims 10, 26, 42, and 57, Henry et al. teaches wherein the respective second ranges of the LBAS do not overlap [col. 5, lines 5-8].

Regarding claims 12 and 28, Henry et al. teaches wherein the one or more slow-access-time-mass-storage nodes comprise a multiplicity of slow-access-time-mass-storage nodes [multiple disks; Fig. 2] and the respective first ranges are spread sufficiently evenly and finely so as to generate well-balanced loading for the multiplicity [each disk has a range, and that range is mapped to a respective cache pool; col. 5, lines 5-8].

Regarding claims 13, 29, 44, and 59, Henry et al. teaches wherein the plurality of interim-fast-access-time nodes comprises a first interim-fast-access-time node and a second interim-fast-access-time node [cache pool 1, cache pool 2], and wherein the first and second interim-fast-access-time nodes have substantially equal capacities [it is well known in the art that multiple cache systems may be of identical size].

Regarding claims 14, 30, 45, and 60, Henry et al. teaches wherein the plurality of interim-fast-access-time nodes comprises a first interim-fast-access-time node and a second interim-fast-access-time node [cache pool 1, cache pool 2], and wherein the first and second interim-fast-access-time nodes have substantially equal capacities [it is well known in the art that multiple cache systems may be of different sizes].

Regarding claims 15, 31, 46, and 61, Henry et al. teaches wherein the plurality of interim-fast-access-time nodes comprises a first interim-fast-access-time node and a second interim-fast-access-time node [cache pools 1 &2], and wherein the one or more slow-access-time-mass-storage nodes comprise a first slow-access-time-mass-storage node which is coupled to only receive data from and provide data to the first interim-fast-access-time node and a second slow-access-time-mass-storage node which is coupled to only receive data from and provide data to the second interim-fast-access-time node [each LBA range of each disk is assigned to a specific cache pool; therefore, each disk receives and provides data to a specific cache pool; col. 5, lines 44-55].

Regarding claims 16, 32, 47, and 62, Henry et al. teaches wherein the plurality of interim-fast-access-time nodes comprises a first interim-fast-access-time node and a second interim-fast-access-time node, and wherein the one or more slow-access-time-mass-storage nodes comprise a first slow-access-time-mass-storage node and a second slow-access-time-mass-storage node which are coupled to receive data from and provide data to the first and the second interim-fast-access-time nodes [claim 16

does not specify respective disks that must send and receive data from a specific cache pool; therefore the first disk may send and receive data from the first or second pool while the second disk sends and receives data from the other of the two cache pools; col. 5, lines 44-55].

Regarding claim 17, Henry et al. teaches a method for storing data, comprising: storing the data in one or more slow-access-time-mass-storage nodes having respective first ranges of logical block addresses (LBAs) [col. 4, lines 10-23 & 55-67; Fig. 2]; assigning to each of a plurality of interim-fast-access-time nodes [cache pools 1 & 2], configured to operate independently of one another, a respective second range of the LBAs [each cache pool is assigned separate LBA ranges for the associated disks; col. 5, lines 45-55]; coupling the plurality of interim-fast-access-time nodes to receive data from and provide data to the one or more slow-access-time-mass-storage nodes having LBAs within the respective second range [col. 4, lines 55-59]; receiving input/output (IO) requests from host processors directed to specified LBAs; and directing all the IO requests to the interim-fast-access-time node to which the specified LBAS are assigned [col. 2, lines 11-15; col. 4, lines 64-67].

Regarding claims 18 and 49, Henry et al. teaches a storage system according to claim 1, wherein receiving the IO requests comprises providing one or more interface nodes, wherein the one or more interface nodes comprise a mapping between the interim-fast-access-time nodes and the LBAS, and wherein the one or more interface

nodes are adapted to convert the requests to one or more requests and to direct the one or more requests to respective one or more interim-fast-access-time nodes in response to the mapping [col. 3, lines 39-45; col. 4, lines 55-67].

Regarding claim 33, Henry et al. teaches a system for transferring data to and from one or more slow-access-time-mass-storage nodes which store data at respective first ranges of logical block addresses (LBAs) [col. 4, lines 10-23 & 55-67; Fig. 2], comprising: a plurality of interim-fast-access-time nodes [cache pools 1 & 2], configured to operate independently of one another, each interim-fast-access-time node being assigned a respective second range of the LBAs [col. 5, lines 45-55]; and coupled to receive data from and provide data to the one or more slow-access-time-mass-storage nodes having LBAS within the respective second range [col. 4, lines 55-59]; and one or more interface nodes, which are adapted to receive input/output (I/O) requests from host processors directed to specified LBAS and to direct all the requests to the interim-fast-access-time node to which the specified LBAS are assigned [col. 2, lines 11-15; col. 4, lines 64-67].

Regarding claim 48, Henry et al. teaches a system for transferring data to and from one or more slow-access-time-mass-storage nodes which store data at respective first ranges of logical block addresses (LBAs) [col. 4, lines 10-23 & 55-67; Fig. 2], comprising: assigning to each of a plurality of interim-fast-access-time nodes [cache pools 1 & 2], configured to operate independently of one another, a respective second

range of the LBAs [each cache pool is assigned separate LBA ranges for the associated disks; col. 5, lines 45-55]; coupling the plurality of interim-fast-access-time nodes to receive data from and provide data to the one or more slow-access-time-mass-storage nodes having LBAs within the respective second range [col. 4, lines 55-59]; receiving input/output (IO) requests from host processors directed to specified LBAs; and directing all the IO requests to the interim-fast-access-time node to which the specified LBAs are assigned [col. 2, lines 11-15; col. 4, lines 64-67].

Allowable Subject Matter

Claims 11, 27, 43, and 58 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Conclusion

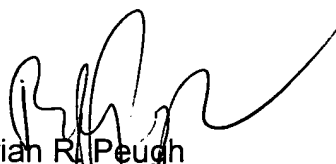
The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. The prior art corresponds to related LBA systems.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian R. Peugh whose telephone number is (571) 272-4199. The examiner can normally be reached on Monday-Thursday from 7:00am to 4:30pm. The examiner can also be reached on alternate Friday's from 7:00am to 4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Donald Sparks, can be reached on (571) 272-4201. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 571-272-2100.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Brian R. Peugh
Primary Examiner
Art Unit 2187
February 2, 2006